# The Electronic Sitar Controller

ajay@ece.uvic.ca alazier@princeton.edu philipd@princeton.edu rswilson@ccrma.stanford.edu prc@cs.princeton.edu

Department of Electrical and Computer Engineering \*\*
University of Victoria, Victoria, British Columbia, CANADA

Department of Computer Science (also Music)
Princeton University, Princeton, New Jersey, U.S.A.

Center for Computer Research in Music and Acoustics<sup>†</sup> Stanford University, Stanford, California, U.S.A.

### **ABSTRACT**

This paper describes the design of an Electronic Sitar controller, a digitally modified version of *Saraswati's* (the Hindu Goddess of Music) 19-stringed, pumpkin shelled, traditional North Indian instrument. The *ESitar* uses sensor technology to extract gestural information from a performer, deducing music information such as pitch, pluck timing, thumb pressure, and 3-axes of head tilt to trigger real-time sounds and graphics. It allows for a variety of traditional sitar technique as well as new performance methods. Graphical feedback allows for artistic display and pedagogical feedback. The *ESitar* uses a programmable Atmel microprocessor which outputs control messages via a standard MIDI jack.

# **Keywords**

Electronic Sitar, ESitar, Indian String Controller, MIDI Controller, Human Computer Interface, Atmel Microcontroller, *Veldt*, Instrument Graphical Feedback.



Figure 1. A traditional Sitar.

### 1. INTRODUCTION

The sitar is *Saraswati's* (the Hindu Goddess of Music) 19-stringed, pumpkin shelled, traditional North Indian instrument. Its bulbous gourd (shown in Figure 1), cut flat on the top, is joined to a long necked hollowed concave stem that stretches three feet long and three inches wide. The sitar contains seven strings on the upper bridge, and twelve sympathetic stings below, all tuned by tuning pegs. The upper strings include rhythm and drone strings, known as *chikari*. Melodies, which are primarily performed on the upper-most string and occasionally the second string, induce sympathetic resonances in the twelve strings below. The sitar can

have up to 22 moveable frets, tuned to the notes of a *Raga* (the melodic mode, scale, order, and rules of a particular piece of Indian classical music). The sitar is a very sophisticated and subtle instrument, which can create vocal effects with incredible depths of feeling, making it a challenging digital controller to create. [1][2]

Our goal is to use sensor technology to extract musical information from a performing sitar player, deducing gestural information such as pitch, thumb pressure, and 3-axes of head tilt to trigger real-time sounds and graphics. In this paper, we will present:

- The evolution of the technology of the sitar from its origins until the present day.
- The traditional playing style of the sitar, on which the controller is modeled.
- The creation of a real-time MIDI sitar controller, using the Atmel microcontroller.
- The techniques used to map the signals captured from the sitar to sound.
- The creation of a real-time graphical feedback system that reacts to the sitar controller.
- The description of the sitar controller used in live performance.

### 2. EVOLUTION OF THE SITAR

The precursor of the sitar is known as the *vina*, of the lute family of instruments, which is referenced in Vedic writings as early as the first millennium B.C. Figure 2 (a) shows an early version of the *stick zither vina*, from the 6<sup>th</sup> and 7<sup>th</sup> century A.D. From this picture it is evident that the *stick zither* did not have frets, which ancient sculptures suggest evolved in the 10<sup>th</sup> and 11<sup>th</sup> Century A.D.[3] Figure 2 (b) shows a primitive type of *vina* instrument whose neck is made out of bamboo. [4]

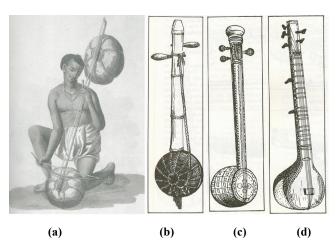


Figure 2. (a) A stick zither vina [3], (b) A vina made of bamboo [4], (c) A sehtar [4], (d) A 7-stringed sitar [4]

There exist several differing historical accounts of the sitar's evolution. Some sources claim the instrument descended directly from the *vina* as performers and builders made small modifications over time as technology and tools evolved. Others claim the similarity between the Middle-Eastern *tambur* and the Persian *sehtar*, which traveled to India during the Muslim occupation of India in 11<sup>th</sup> century. The name seems to have derived from the Persian *sehtar* (*she* - three, *tar* - strings) shown in Figure 2 (c). In the 18<sup>th</sup> century, instrumentalist Amir Khusro is credited with adapting the name, as well as reversing the order of the strings, placing the main melody string to the far outside, thus making it easier for the performer to play with the instrument upright. [3] He also improved the sitar by making the frets movable (for fine tuning), by using string to tie the frets down. [4]

In the 18<sup>th</sup> century, after the innovation of creating a wider bridge, four more strings were added to the sitar, giving a total of seven strings (as seen in Figure 2 (d)). Other improvements include the introduction of metal frets and the *mizrab*, the pyramid-shaped, wire plectrum. In the 19<sup>th</sup> century, the *tarabdar* style of sitar emerged, which had 9 to 12 sympathetic strings (known as *tarab*) positioned under the frets, as depicted in Figure 1.[3]

In 2003, our team has brought the sitar into the modern era of computers, adding resistors, capacitors, force sensing resistors, microphones, and ethernet jacks, to enhance traditional technique with the use of a laptop.

### 3. SITAR TECHNIQUE

It is important to understand the traditional playing style of the sitar to comprehend how our controller captures its hand gestures. In this section, we will define the different parts of the sitar, briefly explain how North Indians annotate melodic notes, and describe the basic technique of sitar playing.

#### 3.1 Construction of a Sitar

The gourd section of the sitar is known as the *tumba* and plays the role of a resonating chamber. The flat piece of wood which lays on the front side of the *tumba* is known as the *tabli*. The long

column which extends from the *tumba* is known as the *dand* (similar to the neck of a guitar), and is made out of the same material as the *tabli*. This part of the instrument acts as a column resonator. Sometimes, a second *tumba* is put at the *dand* to increase resonance.

The seven main upper strings run along the *dand*, above moveable, curved metal frets, over a bridge (*jawari*) made of ivory or deer horn and tie together at the *langot* at the very bottom of the sitar. The sympathetic strings, or *tarab* strings, run below the frets and have their own separate bridge (*ara*), but still tie together at the *langot*. All strings are made of steel, except for the second upper string (right next to the main melody string), which is made of copper.

### 3.2 Hindustani Note Annotation

There are seven main notes (swara) in Hindustani music: Shadja (Sa), Rishab (Re), Gandhar (Ga), Madhyam (Ma), Pancham (Pa), Dhaivat (Dha), and Nishad (Ni). These seven notes correspond directly with the western Do, Re, Mi, Fa, So, La, Ti, and represent the seven notes in a major scale. Octaves are indicated with a mark as follows: Sao (lower octave), Sa (middle octave), Sao (upper octave). Re, Ga, Dha, and Ni, have notes which are half step below, known as komal, and are represented as follows: Re, Ga, Dha, Ni. The note in between Ma and Pa, (known as a tri-tone in Western music) is known as tivra Ma. There are more notes in between these twelve basic notes, known as shruti, which translate to microtones. These notes are traversed when transitioning from one main note to another. There is no agreedupon method of transcribing shruti, and proper technique can only be learned in a traditional guru (master) to shishak (student) tutoring. [2][3][4]

# 3.3 Sitar Playing Technique

It should be noted that there are two main styles of sitar technique: Ustad Vilayat Khan's system and Pandit Ravi Shankar's system. The main differences between the styles are that Ustad Vilayat Khan performs melodies on the higher octaves, eliminating the lowest string from the instrument, whereas Pandit Ravi Shankar's style has more range, and consequently melodies are performed in the lower octaves.[3] The *ESitar* is modeled based on the Vilayat Khan system.

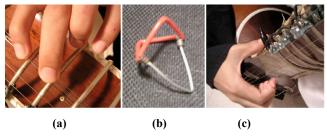


Figure 3. Pictures showing sitar playing technique.

A performer generally sits on the floor, in a cross-legged fashion. Melodies are performed primarily on the outer main string, and occasionally on the copper string. The sitar player uses his left index finger and middle finger, as shown in Figure 3(a), to press

the string to the fret for the desired *swara*. In general, a pair of frets are spaced a half-step apart, with the exception of a few that are spaced by a whole step (typically around *Sa* and *Pa*). The frets are elliptically curved so the string can be pulled downward, to bend to a higher note. This is how a performer incorporates the use of *shruti* (microtones).

On the right index finger, a sitar player wears a ring like plectrum, known as a *mizrab*, shown in Figure 3(b). The right hand thumb, remains securely on the edge of the *dand* as shown on Figure 3(c), as the entire right hand gets pulled up and down over the main seven strings, letting the *mizrab* strum the desired melody. An upward stroke is known as Dha and a downward stroke is known as Ra. [2][3]

#### 4. THE MIDI SITAR CONTROLLER

With the goal of capturing a wide variety of gestural input data, the *ESitar* controller combines several different families of sensing technology and signal processing methods. These are broken down into the microcontroller platform, in Section 4.1 and the individual gestures and their corresponding sensors and algorithms in Section 4.2.

#### 4.1 The Atmel Microcontroller

The core of the *ESitar's* sensing and communication systems is an Atmel AVR ATMega16 microcontroller. The microcontroller is exploited primarily for its several parallel on-board analog to digital converters [5]. As the various sensor inputs are digitized by the microcontroller we do some pre-processing of the resulting signals to clean them up and/or classify them before forwarding them on to the host computer via MIDI.



Figure 4. Pictures showing Controller Box

The Atmel is encased in a controller box as seen in Figure 4, with a number switches, shaft encoders, and potentiometers used to trigger events, toggle between modes, and fine tune settings. The box also has an LCD to display controller data and settings to the performer, enabling him/her to be completely detached from the laptops running sound and graphic simulations. The sitar and headset are each connected to the main control box using Ethernet type patch cables. These cables provide a clean and robust interconnection over which the analog sensor signals are sent to the control hardware.

# 4.2 Gesture Capturing

The specific gestures our system captures data from are the depressed fret number, pluck time, thumb pressure, and 3 axes of the performer's head tilt.

### 4.2.1 Monophonic Pitch Detection

The currently played fret is deduced using an exponentially distributed set of resistors which form a network interconnecting in series each of the frets on the *ESitar* (pictured in Figure 5). When the fingers of the left hand depress the string to touch a fret (as shown in Figure 3(a)), current flows through the string and the segment of the resistor network between the bottom and the played fret. The voltage drop across the in-circuit segment of the resistor network is digitized by the microcontroller. Using a lookup table it maps that value to a corresponding fret number and sends it out as a MIDI message.



Figure 5. Pictures showing the network of resistors on the frets of the ESitar.

As mentioned above, the performer may pull the string downward, bending a pitch to a higher note (for example play a Pa from the Ga fret). To capture this additional information that is independent of the played fret, we fitted the instrument with a piezo pick-up to be fed into a pitch detector. We chose to implement the pitch detector as a *pure data*[6] external object using an auto-correlation based method [7]. The pitch detection is bounded below by the pitch of the currently played fret and allows a range of eight semi-tones above.



Figure 6. Pictures of gesture capturing sensors at base of ESitar

### 4.2.2 Mizrab Pluck Time

Pluck time is derived using two condenser microphones placed on a third bridge above the *ara* (shown in Figure 6). The microphones are located directly under the main melody string and the copper string. The signals from the microphones are passed through an analog envelope detector to extract the pluck time. We also use these microphones to determine on which string the melody is being played. If the melody is being played on the

copper string (which is very rare), we can safely assume that the main melody string is not being played. The microcontroller sends a MIDI message when a pluck occurs, embedding the information for the string that was plucked.



Figure 7. Picture of FSR sensor used to measure thumb pressure

### 4.2.3 Mizrab Pluck Direction

We are able to deduce the direction of a *mizrab* stroke using a force sensing resistor (FSR), which is placed directly under the right hand thumb, as shown in Figure 7. As mentioned before, the thumb never moves from this position while playing. However, the applied force varies based on *mizrab* stroke direction. A *Dha* stroke (upward stroke) produces more pressure on the thumb than a *Ra* stroke (downward stroke). We send a continuous stream of data from the FSR via MIDI, because this data is rhythmically in time and can be used compositionally for more then just deducing pluck direction.



Figure 8. Picture of headset with accelerometer chip

### 4.2.4 3-Axes Head Tilt

An accelerometer is attached to a headset (as shown in Figure 8) in order to obtain 3-axes of head tilt information. We see the head as an easy way to control and trigger different events in the

performance.[8] We send continuous head data out via MIDI messages. The headset would be a useful addition to almost any controller as a replacement for foot pedals, buttons, or knobs. It is particularly useful in this system as a sitar player's hands are always busy, and cannot use his/her feet due to the seated posture.

### 5. MAPPING SIGNALS TO SOUND

Once we have control information describing pitch, pluck time, pluck direction/power, and head tilt, we must decide how to use this information to create sound.

# 5.1 Requirements

The ESitar produces the natural sound of the instrument as well as control information. Thus, we must take care that the sounds we produce complement the acoustic sound of a classical sitar. This task is possible because of the detailed information available about what is being played. There are two classes of electronic sounds we can produce. The first consists of sounds that function as an extension of an acoustic sitar. One means of extending the sound of the sitar is to simply process the signal coming through a pickup with standard effects such as delay and reverb. This is trivial because there is no need for the detailed information regarding the musician's interaction with their instrument to apply these effects. With very accurate information to describe the actions of the sitar player it is possible to create effects that reach beyond basic processing of the acoustic sitar sound. When attempting to extend the sound of the sitar, we must take care that the mapping of control information to sound is done in such a way that the performer can control both the acoustic sound and synthesized sound simultaneously. Such mappings can be considered a coupling of the gestures used to create the acoustic and electronic sounds. Recent research has shown that such mappings have the potential to be more expressive and powerful than one to one mappings between control information and synthesis parameters [9]. The second class of electronic sounds we can produce consists of sounds that function as counterpoint to the sound of the sitar. This class of sounds focuses more on the analysis of control information and the creation of a computerized accompaniment or response, rather than mapping control parameters directly to sound. To interact with such a system, the performer does not try to trigger control information, but instead varies their interaction with the acoustical instrument to implicitly direct the computer-generated sounds. For example, with the detailed information available, it is possible to generate tabla beats that correctly complement and react to the acoustic sound of the

### 5.2 Pure Data Patches

All of the signals generated by the *ESitar* are sent into a computer and captured by Miller Puckette's *pure data [6]* program. This information could be used in many other ways, but we chose to use pd because of the ease of capturing and manipulating the control information within the pd environment. Below, we describe a number of different *pure data* patches written to demonstrate how such control information can be used.

#### 5.2.1 Slide Sitar

The slide sitar patch was modeled after the sound of a slide guitar. It is a simple module that consists of a bank of oscillating comb filters. The filters have a resonance that is determined by the frequency indicated by the fret information. The control information is used to change the resonance of the filters. We also use thumb pressure to control the amplitude of the resonance

oscillation. Here we interpret thumb pressure as a measure of intensity of the performance. The intensity of each pluck can be heard through the amplitude of the filters' oscillations. This is a very simple use of the control information, but such an effect could not be obtained without the detailed information provided by the *ESitar*.

### 5.2.2 Sympathetic pitch

The sympathetic pitch patch plays rolls of a sampled sitar sound at the pitch indicated by the fret. In this case we mapped thumb pressure to the volume of the roll and head tilt to length of the notes and speed of the roll. Because the sounds produced do not directly correspond to the acoustic sound, this is more of a complementary patch. This is apparent when the performer increases the pressure on the thumb FSR on a beat where the strings are not strummed. In these instances the roll becomes more prominent and can function as a rhythmic replacement for the sitar when the acoustic sound is not heard.

#### 5.2.3 Ring Modulation and Delay

This patch also takes into account the fret information, setting the frequency of the modulation to the pitch indicated by the frets. This patch produces a distorted sound that would not be possible to create without the accurate pitch information provided by the controller. We also set up a delay system controlled by the head and the thumb. The system allows the musician to capture parts of the output of the ring synthesis module into a loop for later playback and combination with other loops. The head tilt controls which loops are played or filled with new sound, while the thumb controls if or how much sound should be played or stored into the loop buffers.

#### 5.2.4 Analysis/Re-Synthesis

Our last example is a simple analysis/re-synthesis patch. We use fret information and pitch information to identify which partials are generated by the struck note. Simply taking the strongest partials would not lead to the same results because of the sympathetic strings that are constantly resonating. Once the strongest partials are chosen, sine waves with very short envelopes are synthesized which together form a disjointed representation of the acoustic sitar sound. The volume of the resynthesis is scaled to the sound of the acoustic sitar and then controlled by head tilt. We warp the volume distribution of the detected partials using thumb pressure. When greater thumb pressure is applied, the lower partials are given more weight and are more easily discernable.

#### 5.2.5 Drone

We also added the capability to control the sound of a drone using head tilt. The drone is created using a bank of fm synthesizers. Each of the synths are tuned to Sa or Pa, each with a different set of harmonics. They are also specialized so that head tilt controls the relative loudness of each of the synths. This gives the performer the ability to control which synths are most prominent.

### 6. GRAPHIC FEEDBACK

We rendered the visuals for the *ESitar* performance using *veldt*[10], as our visual design environment, and we chose to model our visualization on the traditional form of melodic notation for sitar. As the player performs, we read the incoming note/velocity pairs from the Atmel chip to render a stream of *swara*, which are arranged in a helix as if they are printed on

spinning drum of paper (shown in Figure 9). We use a discrete rhythm detection algorithm[11] over a recent history of notes played to estimate a rough beat-per-minute value, which modulates the speed at which the drum rotates so that one measure is displayed per rotation of the drum. Notes played with greater intensity are rendered in a larger, bolder style, emphasizing them within the overall display. Rhythmic patterns are reflected visually as symmetries around the drum.

As in our audio synthesis methods, we incorporate additional signals measured in the performance to broaden the player's ability to change the scene. We monitor the signals received from two of the three axes from the tilt accelerometers on the headset, as well as the pressure measured from the thumb of the plucking hand, in order to pick up both continuous, low frequency measurements and additional rhythmic cues. The accelerometer values are used to parameterize the blending of several video streams over geometry in background of the video, in correlation with the movement through parameter spaces in our audio synthesis model. The thumb pressure provides a frequent, pulsing



Figure 9. Roll of swara rendered over video stream



Figure 10. Animation scrubbing from thumb pressure

measurement in coordination with the plucks of the *ESitar*, and is used to control the scrubbing of short animation clips – in Figure 10, those of a flower opening and closing.

There are certainly a wide range of mappings that could be conceived of with the new range of the measurements that are received from the *ESitar*. In a pedagogical setting, a pre-recorded reel of *swara* could be used as a score against which a student's accuracy could be measured visually, while also drawing their attention to more subtle aspects of their performance.

#### 7. ESITAR IN LIVE PERFORMANCE

The ESitar was premiered at the Listening in the Sound Kitchen Computer Music festival in November of 2003, shown in Figure 11. An 8-channel composition "Saraswati's Electro-Magic" was performed. We presented the four different settings, each with different mappings using pure data and different visual feedback effects using veldt discussed above. A performance was our final test that the controller was actually working, and not just some lab experiment to theorize and hypothesize about.



Figure 11. Picture showing *ESitar* used in live performance.

### 8. CONCLUSION

We have presented a real-time device for sitar performance. The sitar controller captures gestural data from a performer, and uses it to manipulate sounds and visuals. A performer can now use a laptop with a sitar in order to create a multimedia experience for a live audience, using traditional Indian classical sitar technique. Performance based visual feedback provides another means of expression for the performer, as well as a pedagogical tool. In the future we plan to use the *ESitar* as a tool to transcribe Indian Classical music.

### 9. ACKNOWLEDGMENTS

We would like thank Bill Verplank, Michael Gurevich, Scott Wilson, and Max Mathews for their workshop at CCRMA on controllers and the Atmel microprocessor. We would also like that Asha Kapur, Dolly and Surinder Vohra for bringing a sitar from India to build the ESitar with. We would also like to thank Ustad Siraj Khan of the Mewati gharana for his training in the traditional classical theory and technique of sitar performance. Other thanks to Andrew Schloss, Peter Driessen, George Tzanetakis, Tae Hong Park, Dan Trueman, Curtis Bahn, Pavan Vohra, Sona Vohra, Meera Kapur, and Arun Kapur, for their support and inspiration.

#### 10. REFERENCES

- [1] Menon, R. R. *Discovering Indian Music.* Somaiya Publications PVT. LTD, Mumbai, India, 1974.
- [2] Vir, R. A. *Learn to play on Sitar*. Punjab Publications, New Delhi, India, 1998.
- [3] Bagchee, S., *NAD: Understanding Raga Music* Ceshwar Business Publications Inc, Mumbai, India, 1998.
- [4] Sharma, S. Comparative Study of Evolution of Music in India & the West. Pratibha Prakashan, New Delhi, India, 1997.
- [5] Wilson, S., Gurevich, M., Verplank, B., & P. Stang, "Microcontrollers in Music Education - Reflections on our Switch to the Atmel AVR Platform", Proceedings of the 2003 Conference on New Instruments for Musical Expression (NIME 2003), Montreal, Canada. May 22-24, 2003.
- [6] Puckette, M. "Pure Data: Another Integrated Computer Music Environment." *Proceedings of Second Intercollege Computer Music Concerts*, Tachikawa, Japan, pp. 37-41. 1996.
- [7] Zolzer, U., *DAFX: Digital Audio Effects*, John Wiley & Sons, Ltd. England, pp. 336-370, 2002.
- [8] Merrill, D., "Head-Tracking for Gestural and Continuous Control of Parameterized Audio Effects," Proceedings of the 2003 Conference on New Instruments for Musical Expression (NIME 03), Montreal, Canada. May 22-24, 2003.
- [9] Hunt, A, Wanderley, M. M., & M. Paradis. "The Importance of Parameter Mapping in Electronic Instrument Design." Proceedings of the 2002 Conference on New Instruments for Musical Expression (NIME 02), Dublin, Ireland. May 24-26, 2002.
- [10] Kapur, A., Essl, G., Davidson, P., & P.R.Cook. "The Electronic Tabla Controller" *Journal of New Music Research*. 32(4), pp.351-360. 2003.
- [11] Dixon, S. "A Lightweight Multi-Agent Musical Beat Tracking System." *Pacific Rim International Conference on Artificial Intelligence*, pp 778-788. 2000.